



Derby City Disclosures Louisville IIA Chapter News

November 2011

Special Points of Interest

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MESSAGE FROM THE PRESIDENT

www.ialou.org



Happy November! I think this may be one of my favorite months – with the exception of the really cold days! I love the smell of fall and knowing the holidays are fast approaching.

Last month we had two great meetings. Our lunch meeting was particularly interesting and featured Kentucky’s two candidates for State Auditor – John Kemper III and Adam Edelen. I hope those who attended were able to gain some insight into the candidates and their visions for this office for the next four years. And no matter who you decide to support, please don’t forget to vote on **November 8th**.

This month we have another great meeting on the calendar where Tony Hall will discuss “Integrated Auditing”. I hope everyone can attend and add some knowledge to their IA toolkits.

I look forward to seeing you there!

Melissa A. Koellner, CPA, CFE
Louisville IIA Chapter President

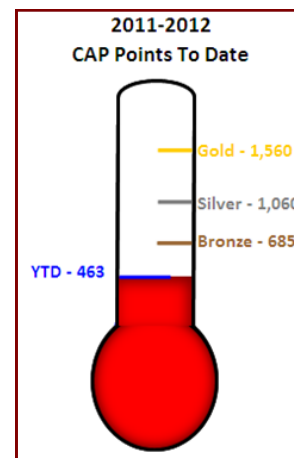


MONTHLY CAP UPDATE

The current CAP total is 463 points, an increase of 92 points from the previous month. This increase was mainly attributable to the two meetings held during October. On October 11th, our chapter held a State Auditor Debate between the Democratic and Republican candidates. This event was attended by 40 members and 7 guests. The afternoon meeting held on October 18th on “Mobile Device Management” was attended by 19 members and 5 guests.

Here are the chapter’s current CAP totals by category:

Service to Members	—	84 points;
Service to Profession	—	160 points;
Chapter Administration	—	219 points.



NEWSLETTER CONTACT INFORMATION

The email address for submission of information to the newsletter has changed. Please use newsletter@ialou.org on all newsletter related correspondence in order to prevent delays and ensure that both editors are receiving the necessary information.

NOVEMBER 8th MONTHLY MEETING

“Integrated Auditing: Combining IT and Financial”

Date: Tuesday, November 8, 2011
Registration: 11:30 am – 12:00 pm EST
Meeting Time: 12:00 pm – 1:00 pm EST
Presenters: Tony Hall, LG&E and KU Energy LLC
CPE credits: 1 hour
Cost: \$25 – *American Express, Discover, MasterCard and Visa accepted.*
Location: **Bristol Bar & Grille**
614 W. Main Street
Louisville, KY 40202
RSVP: [Register Here](#) – by Thursday, November 3, 2011

TOPICS COVERED AND LEARNING OBJECTIVES

- Discussion of Integrated Auditing
- Question and Answer Session

PRESENTER PROFILE

Tony Hall is currently the Manager of Critical Infrastructure Protection at LG&E and KU Energy, and previously was Manager of IT and Operational Auditing. He has worked at LG&E and KU for 20 years, with the majority of the time spent within the IT organization. Tony has held various positions within IT from Operations to Development. As Service Delivery Manager, his last assignment within IT, Tony was responsible for all development activities for the Power Plants, Transmission, Trading, Legal and Communication departments. While a member of IT, Tony lead and participated on several international project teams with the previous parent company, E.ON AG in Germany.

Tony is a graduate of the University of Louisville Speed Scientific School with a Bachelor of Science degree in Electric Engineering and a Master of Engineering degree in Computer Science/ Electric Engineering. Currently, Tony is working on a Master of Science in Legal Studies at California Southern University.

In his spare time, Tony is a United States Soccer Federation referee and spends many of his weekends at a soccer park officiating matches.

CHAPTER SURVEY WINNER

Ingram Quick was the winner drawn for the October 11th monthly meeting survey. Ingram will receive \$25 off the cost of a future Louisville IIA event. Thank you to everyone who took time to complete the survey following the meeting. Your ongoing input helps improve your meeting experience and helps the officers plan additional worthwhile events during the year. Congratulations Ingram!

November meeting includes your choice of one of the following lunch selections:

- 1) **Cobb Salad** – Diced chicken, diced tomatoes, green onions, hard-boiled egg, fresh avocado, Danish blue cheese & bacon. Served with a side of Balsamic Vinaigrette.
- 2) **Mediterranean Pasta** – Spinach, pine nuts, sun-dried tomatoes, Kalamata olives & Feta cheese tossed with penne pasta in olive oil & white wine.
- 3) **Muffaletta** – Turkey, ham, Swiss & Havarti cheese served warm on Focaccia bread with olive relish, marinated onions & tomatoes. Served with chips.
- 4) **Turkey Sandwich** – Smoked turkey breast, Cheddar cheese, lettuce & tomato on toasted wheat bread (packet of mayonnaise & mustard on the side). Served with chips.



LOUISVILLE IIA NEWS

BOARD OF GOVERNORS ELECTION

The chapter has four openings on the Board of Governors and we need you to serve! We will begin accepting nominations at the upcoming monthly meeting on November 8th, or you may send a nomination email to [Melissa Koellner](#). All nominations are due by Monday, November 28th. You are welcome to nominate yourself! The election will take place at the December monthly meeting. This is a great opportunity for you to lead and support the continued success of the Louisville IIA Chapter.

Roles, Responsibilities & Expectations of a Governor

- Works with elected officers and committee chairpersons to determine the policies of the chapter and in planning the annual program, including meetings and seminars;
- Elected for a 2-year term;
- Must be active in chapter activities;
- Expected to attend all Board meetings (at least 2 held each year).

Welcome New Members!

Michelle Blandford –
Texas Roadhouse Inc.

Robert Croft –
Brown Forman

Ross Davison –
ResCare Inc.

Stephanie Knapper –
Kindred Healthcare

Christal Lunz –
11 Aviation Command

Jessica Wert –
Kindred Healthcare



CHAPTER LEADERSHIP OPPORTUNITIES

The chapter is in need of volunteers to serve as a Webmaster, Chairperson for the Audit Committee and additional member of the Membership Committee.

The Webmaster is responsible for maintaining information posted to the chapter website, which is based primarily in PHP/MYSQL. Elementary knowledge of HTML is a plus.

The Audit Committee is responsible for performing the annual audit of the chapter financial records, which usually occurs during June or July of each year.

The Membership Committee is responsible for assisting members with inquiries, arranging meetings with vendors, analyzing response rates to communications, tracking attendance and greeting members, working with the treasurer to tie out fees and helping in any other way needed.

Remember that you shape the future of the chapter thru volunteer efforts. Please seriously consider serving in one of these important roles if you have not previously, or recently, been active in chapter leadership. If you are interested in volunteering, please send an email to [Melissa Koellner](#) right away!



CALENDAR OF LOUISVILLE IIA MEETINGS/SEMINARS

Chapter Events for 2011-2012

<i>Date</i>	<i>Meeting / Seminar Title</i>	<i>Speaker(s)</i>	<i>CPE</i>	<i>Location</i>
11/08/11	Integrated Auditing: Combining IT and Financial	Tony Hall, <i>LG&E and KU Energy</i>	1	Bristol Bar & Grille
12/13/11	Social Engineering and ID Theft	Thomas D'Spain, <i>Farm Credit</i>	1	Bristol Bar & Grille
01/10/12	Contingency Planning	Jody Grizz, <i>LG&E and KU Energy</i>	1	Bristol Bar & Grille
01/17/12	The Future of Risk: Trends in Risk Management and Thinking Outside the SOX Box	Tim Howell, <i>Ernst & Young</i>	2	East End (TBD)
02/14/12	Conflict Resolution	Tony Belak, <i>Institute for Workplace Transition</i>	1	Bristol Bar & Grille
03/13/12	Managing Multi- Generational Workforces <i>(Joint meeting with IMA)</i>	Andrea Higgins, <i>Robert Half</i>	1	Bristol Bar & Grille
04/10/12	Privacy	Brian Long, <i>PricewaterhouseCoopers</i>	1	Bristol Bar & Grille
04/17/12	Ethics	TBD	2	East End (TBD)
05/08/12	TBD	TBD	1	TBD

Membership Anniversaries

We would like to recognize four of our chapter members who are celebrating milestone membership anniversaries in The IIA during the month of November. Congratulations to all of you!

- **Shannon Loyd**
5 years
- **Daniel Daugherty**
5 years
- **Kris Hoerter**
5 years
- **Jodi Renn**
15 years



LOCAL JOB OPPORTUNITY

Employer: Stock Yards Bank & Trust – Louisville, Kentucky

Position: Internal Audit Senior

For information on full job duties, responsibilities, requirements and instructions to apply, please visit:

<http://www.syb.com/about/about-us-careers/>.

**Stock
Yards**
Bank & Trust
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NATIONAL IIA NEWS

IIA SEEKS INDUCTEES TO HALL OF DISTINGUISHED AUDIT PRACTITIONERS

The North American Board (NAB) of The Institute of Internal Auditors (IIA) has announced the creation of the Hall of Distinguished Audit Practitioners (Hall) to recognize key individuals in the field of internal auditing who have contributed significantly to the profession throughout their lifetime. Induction into the Hall is the highest honor given by the North American Board, recognizing that those selected set the standard for others to emulate.

“There are people who have made tremendous contributions to internal auditing through their lives, and they deserve recognition for all that they have done to advance our profession,” said NAB Chairman of the Board Larry Harrington, CIA, when announcing the formation of the Hall. “The Hall of Distinguished Audit Practitioners was formed so that The IIA and the North American Board could publicly and permanently honor these outstanding individuals.”

In order to be eligible for selection into the Hall, a nominee must have:

1. Served as a leader in the internal audit profession (audit professional, professor, instructor, or other service to the profession of internal auditing) for a minimum of ten years.
2. Provided diligent service to their employing organization(s), as evidenced by the support afforded to them by their peers, supervisors, and staff.
3. Advanced the profession through thought leadership, presenting on internal audit at conferences or seminars, or service to The Institute of Internal Auditors in a leadership role.
4. Exemplified the finest values of those who practice internal audit through their highly ethical conduct, integrity, moral character, service and leadership.
5. Been nominated by at least two members of The IIA or individuals who are actively engaged in the profession.

The initial class of Hall inductees will be recognized at The IIA’s General Audit Management Conference in Orlando, Florida, on March 19-21, 2012. No more than five practitioners will be inducted into the Hall annually, although the initial classes may include additional inductees who have made historical contributions to the profession of internal auditing throughout the past seven decades.

Nominations for the inaugural class of inductees are being accepted through December 1, 2011, with nomination forms available at www.theiia.org/hall. For further information, please contact Kevin Mayeux, The IIA’s Chief of North American Operations, at kevin.mayeux@theiia.org.

CCSA® PROGRAM CERTIFIES 5,000TH INDIVIDUAL

The IIA is pleased to announce the awarding of the 5,000th Certification in Control Self-Assessment® (CCSA®). Established in 2000 to recognize the competency level in the specialized arena of control self-assessment, the CCSA® program focuses on risk and control models, enabling CSA practitioners from all backgrounds to demonstrate that they possess the high-level skill and techniques that are vital to helping companies achieve their objectives.



FUTURE IIA SEMINARS & CONFERENCES

General Audit Management Conference	March 19-21, 2012	Gaylord Palms Resort & Convention Center / Kissimmee (Orlando), FL
Gaming Conference	April 11-13, 2012	MGM Mirage / Las Vegas, NV
International Conference	July 8-11, 2012	Boston Convention and Exhibition Center / Boston, MA

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ADVERTISEMENT POLICY



FREE recruiting advertisements are available on the local Chapter website and in the monthly newsletter. Any employer is eligible for free advertising IF at least one employee is a member of Louisville IIA Chapter. Executive Search Firms will be charged a nominal fee per month of \$50 for a ½ page or \$100 for a full page advertisement and one month of posting open positions on our webpage. Payment must be received prior to advertising/posting. For more information, please email the Louisville IIA Chapter **President** or **Secretary**.



**The Institute of Internal Auditors
Louisville Chapter**