



PLATINUM  
CHAPTER  
2010

# Derby City Disclosures Louisville IIA Chapter News

[www.ialou.org](http://www.ialou.org)

October 2010

## Special Points of Interest

- Learn more about the roles of your chapter officers
- Quality Assessment Review Committee volunteers needed
- Opportunity to earn 2 CPE credits at afternoon meeting

### MESSAGE FROM THE PRESIDENT

October will be a busy month for the Louisville IIA, as we will be hosting two meetings worth a total of 3 CPE credits.

On Tuesday, October 12<sup>th</sup> at Noon, a very entertaining speaker, David Tyler from E&Y's Atlanta Office, will be presenting on "Healthcare Reform" and its impact on providers, payers, and employers. We are still learning the complexity of the healthcare bill that passed earlier this year and its impact on our organizations (and our wallets!).

On Tuesday, October 19<sup>th</sup> from 3 – 5 pm, Bruce Edwards and Matt Witten from the University of Louisville will be presenting on "Data Breaches". By popular demand, we are again offering three afternoon meetings in the east-end during the year, in addition to our monthly meetings at Noon. The afternoon meetings are intended to accommodate our members who work and/or live in the suburbs.

We have had a great response to the meeting subscription. It's not too late - for the remaining 11 meetings of this year, you may purchase the subscription for \$210 (less than \$15 per CPE credit) which will still save 17% for you or your organization. To become a meeting subscriber, click [HERE](#).

The IIA is looking for volunteers! See information on page six about how you can get involved nationally or internationally with The IIA. We have two members of our chapter, James Rose and Debbie Shelton, who serve on an IIA international committee. We would love to see more participation from our chapter.

Finally, Jim Sniegocki and Patrick Bashore, who presented at one of our meetings last year on "Conducting Internal Investigations," are hosting a one-day seminar in Louisville October 29<sup>th</sup>, on "Internal Fraud and Employee Theft." This is not sponsored by the IIA or our chapter; however, after their presentation to our chapter, many of our members expressed interest in attending one of their in-depth full day courses, so we have attached their brochure with our newsletter.

I hope to see you at our meetings this month!

Derrick S. Singletary, CPA  
Louisville IIA Chapter President

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### QUALITY ASSESSMENT REVIEW COMMITTEE



We are looking for individuals interested in participating in a Chapter Quality Assessment Program to serve on a committee to help roll out the program in the spring of 2011. Members of the committee will help establish parameters for the chapter program, draft agreements for participation in the program, and provide oversight for the program. Anyone interested should contact Jodi Renn at [jrenn@kheslc.com](mailto:jrenn@kheslc.com).



**October Meeting  
Presenter**

Lunch includes your choice of one of the following meal selections:

- 1) [Grilled Chicken & Spinach Salad](#) - with roasted garlic, red onion, sun-dried tomatoes, bacon, and goat cheese;
- 2) [Theresa's Sweet Chili Linguini](#) - pasta tossed with cream and Thai chili sauce topped with blackened chicken;
- 3) [Veggie Stir-Fry](#) - stir-fried with peppers, onions, mushrooms, and snow peas with Thai chili sauce over rice;
- 4) [Turkey Sandwich](#) - Smoked turkey breast, cheddar cheese, lettuce, tomato, mayo, and Dijon mustard on toasted wheat bread. Served with chips.

## OCTOBER 12<sup>th</sup> MONTHLY MEETING "Healthcare Reform"

**Date:** Tuesday, October 12, 2010  
**Time:** 12:00 pm – 1:00 pm  
**Location:** Bristol Bar and Grille  
 614 W. Main Street  
 Louisville, KY 40202  
*(Parking is not validated)*

**Presenter:** David G. Tyler, Senior Manager — Ernst & Young

**CPE credits:** 1 hour  
**Registration:** 11:30 am – 12:00 pm (noon)  
**Cost:** \$25 members / \$25 nonmembers  
**RSVP:** <http://guest.cvent.com/d/9dqv7n>  
 by Thursday, October 7th  
*American Express, Discover, MasterCard, and Visa accepted*

### SPEAKER PROFILE

David is a Senior Manager in the Advisory Services practice of Ernst & Young, with over 15 years of experience primarily in the healthcare industry. His primary areas of expertise include managed care strategy/operations, strategic/business plan development, healthcare/HMO-related M&A activity, capitation management/administration, managed Medicaid, and MA/PD.

David is currently working with Ernst & Young's Washington Council and firm leadership within healthcare to develop meaningful insights into the healthcare reform regulations. This has included written articles, webcasts, multiple symposiums, client sessions, and events focused on the topics of healthcare reform.

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### UPCOMING IIA SEMINARS

2010 IT Audit and Controls	October 12-14	Orlando, FL
2010 All Star Conference	October 18-20	Las Vegas, NV
2011 General Audit Management Conference	March 14-16, 2011	Las Vegas, NV
2011 Leadership Academy	April 10-12, 2011	Orlando, FL
2011 International Conference	July 10-13, 2011	Kuala Lumpur, Malaysia

\* IIA Members: Save 10% using source code CONF30/IIA

## SPECIAL AFTERNOON MEETING

### *"Data Breaches"*

**Date:** Tuesday, October 19, 2010  
**Time:** 3:00 pm – 5:00 pm  
**Location:** Republic Bank – Springhurst  
9600 Brownsboro Road  
Louisville, KY 40241  
**Presenters:** Bruce Edwards and Matt Witten — University Of Louisville

**CPE credits:** 2 hours  
**Registration:** 2:30 – 3:00 pm  
**Cost:** \$15.00 member / non-members  
**RSVP:** <http://guest.cvent.com/d/mdqvmy>  
by Thursday, October 14th  
*American Express, Discover, MasterCard, and Visa accepted*

**Includes:** Light afternoon snacks/refreshments and materials.

Bruce and Matt will be discussing data breaches, and provide some real world examples of recent breaches.

#### ***Topics To Be Covered and Learning Objectives:***

- Regulatory compliance aspect;
- Mitigation, control, management responsibility;
- Minimizing the effect of a breach, and several other items.

Comments and other questions concerning this seminar can be directed to [membership@ialou.org](mailto:membership@ialou.org).

### Save the Date

February 15 - 16 &  
17 - 18, 2011  
Courtenay Thompson,  
*Purchasing Fraud*

Don't miss this great opportunity to earn 16 CPE credits! Mark your calendar as *seating is limited*. More details to follow in upcoming newsletters.

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### DID YOU KNOW?

Louisville IIA Member and Board of Governor, [Corey Koellner](#), is running for Jefferson County PVA in this November's election.

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### MONTHLY MEETING SURVEY WINNER

Bridget Coady was the drawing winner for September's monthly survey. Bridget won free attendance to one chapter meeting. Thank you to everyone who took time to complete the survey. The timely input helps improve your meeting experience. Congratulations Bridget!

## AWARENESS ITEM OF THE MONTH

### *Internal Auditing Education Partnership (IAEP)*

#### What is the IAEP:

The IAEP program was developed to respond to the growing interest in internal audit education at institutions of higher learning. The IAEP program also addresses a general need of practitioners interested in hiring students who possess a well-rounded skill set for conducting internal audits. To heighten awareness of the internal audit profession and provide students with quality instruction, The IIA has designed a robust academic program that prepares students for the profession with input from educators and practitioners. IAEP program schools teach an IIA-endorsed internal audit curriculum within an undergraduate or post-graduate degree.

#### Supporting the Profession:

As an IIA member, there are many ways to support the profession through The IIA's Academic Relations Department, your local IIA chapter, or the Internal Auditing Academic-Achievement Fund (IAAAF). The Chapter/Institute Academic Relations Program provides materials for chapter events such as student nights or career fairs. Through your local IIA chapter or institute, you can identify a program or student to mentor. Your chapter or institute can donate a book or an entire resource library to a local university. Organizations, chapters/institutes, individuals, and foundations are invited to donate to the IAAAF which supports, enhances, and grows IAEP programs at approved colleges and universities worldwide.

For more information, visit [www.theiia.org/Academic](http://www.theiia.org/Academic) or contact [Veronica Johnson](#) at +1-407-937-1376. Criteria for the IAEP programs and a complete listing of IAEP program schools are available at [www.theiia.org/IAEP](http://www.theiia.org/IAEP).

#### CHAPTER OFFICER SPOTLIGHT—MELISSA KOELLNER, CPA



Melissa joined Humana as an Internal Audit Consulting Leader in June of 2009. Prior to joining Humana, Melissa served as an Audit Manager at PricewaterhouseCoopers LLP with a focus on Healthcare clients.

Melissa is a Bellarmine University graduate with a Bachelor of Arts degree in Accounting, is a Certified Public Accountant (CPA), and is currently working towards her Certified Fraud Examiner (CFE) certification. She currently serves as the Vice-President for the Louisville IIA chapter. Her position encompasses working with the Officers and Board of Governors to plan speakers for the monthly meetings, working with the Seminars chairperson to plan chapter seminars, and assisting the President with any other tasks that are needed. In addition, Melissa serves as a board member for the Louisville Nature Center; an audit committee member for St. Paul, St. Peter the Apostle, and St. Andrew Academy; a volunteer for Junior Achievement; and a volleyball coach for St. Paul School.

Melissa currently resides in Louisville and is (newly) married to Corey Koellner. She enjoys spending time with her two dogs, Sadie and Nixon, as well as playing and coaching volleyball. She would love to travel to Italy, but has enjoyed all vacation destinations she has visited to date. Her favorite dining establishment is Bonnie & Clyde's pizza.

### Membership Anniversaries

We would like to recognize two of our chapter members who have recently celebrated membership anniversaries in the IIA. Congratulations to both of you!

- Ronald Voelker  
5 years
- John Stork  
5 years

## IIA NATIONAL NEWS *Become the 100,000th CIA!*

Learn how you can earn the prestigious Certified Internal Auditor® (CIA®) designation while putting yourself in the running to be our 100,000th CIA. Visit [www.theiia.org/certification](http://www.theiia.org/certification) to enroll in the certification program and to learn more.

The individual who becomes our 100,000th CIA will receive:

- a special certificate presentation at an upcoming IIA event (travel expenses paid);
- recognition for your local chapter or institute; and
- a commemorative brick with their name placed in the “Pathway to Progress” outside The IIA’s global headquarters.

### Already CIA-certified? Share Your Experiences!

Join us in our excitement by sharing your CIA experiences and the benefits you have gained through the designation. Send comments, stories, and photos to Kristi Coombs at [kristi.coombs@theiia.org](mailto:kristi.coombs@theiia.org), and we will feature select stories on [The IIA website](#).

## Welcome New Member!

Erin Schaeffer,  
Kindred Healthcare

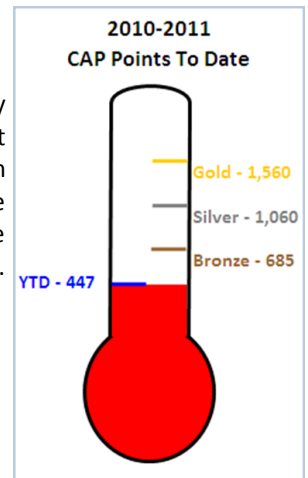
### CIA DESIGNATION AWARDED

The Louisville Chapter of the Institute of Internal Auditors and The Institute of Internal Auditors (IIA) are proud to announce that Rebecca Tharp has earned the designation of Certified Internal auditor (CIA). The CIA is awarded to internal auditors who have met the requirements of the IIA’s rigorous certification program and who have successfully completed the CIA examination. Congratulations Rebecca!

### MONTHLY CAP UPDATE

The new CAP total as of September 30<sup>th</sup> is 447 points. The monthly meeting on September 14<sup>th</sup> on “Protecting the Community” brought out 42 guests and 14 people attended the September 17<sup>th</sup> seminar on “High Impact and Risk Based Auditing”. Additionally, we have received credit for submitting reports to IIA headquarters, such as the chapter budget, planning checklist and event schedule for the year. Here are the chapter’s current totals by category:

- Service to Members — 92 points;
- Service to Profession — 141 points;
- Chapter Administration — 214 points.



## CALL FOR IIA VOLUNTEERS

### *Leadership Candidates For 2011-2012*



The IIA is a volunteer-driven professional association that provides dynamic leadership for the global profession of internal auditing. We value our volunteers and the contributions they make toward building for tomorrow.

The IIA has opportunities available for members to serve on its North American and Global committees. Perhaps you would like to be a district representative or district advisor and serve on the Chapter Relations Committee of North America to oversee Chapter operations and activities? Or maybe you would prefer to participate on one of The IIA's **15 international committees**? Serving as an IIA leader can introduce you to a world of opportunities. Learn more by reading [\*What Does It Take to Be an IIA Leader?\*](#)

The deadline for nominations for the 2011-2012 year is **November 5, 2010**, so now is the time to turn in your submission form. Contact Louisville IIA's Nominations Chair, **Derrick Singletary**, if you would like to nominate someone or if you would like to nominate yourself for:

- International Officers
- Institute Directors
- North American Directors/ North American Board
- The IIA Research Foundation Board of Trustees
- Member for 1 of 15 Committees
- District Advisors (North America)
- District Representatives (North America)

### Attention All Aspiring Authors!

If you are interested in contributing an article to be included in future chapter newsletters, please email **Adam Murphy** or **Jackie Dimmett**. We are always on the lookout for relevant, newsworthy items that provide beneficial information to our members or showcase the professional accomplishments of our chapter.

### CIA BOOT CAMP AND LEARNING CENTER COURSES

Sullivan University has scheduled two CIA Learning System Courses for late summer/fall. We appreciate your participation and hope that we will be able to help you with your future training needs. To register please visit Sullivan University at <http://www.sullivan.edu/cia/>.

Course Name	Start Date	End Date	Description	Price
CIA (Certified Internal Auditor)	09/18/2010	10/16/2010	<i>Saturdays (remaining)</i> Oct 2, 9, 16	\$ 2,995
CIA (Certified Internal Auditor) "Boot Camp"	10/11/2010	10/15/2010	<i>Mon – Fri</i> Oct 11 - 15	\$ 2,995

Please contact **Bob Aemmer**, Certifications Chair, with any suggestions regarding the course and how we can better serve your needs. Questions regarding course registration can be directed to **Richard Brien**, Director of Corporate Development and Training, at Sullivan University.

**CALENDAR OF CHAPTER MEETINGS**  
**2010 - 2011**

<b>Date</b>	<b>Meeting / Seminar Title</b>	<b>Speaker</b>	<b>CPE</b>	<b>Location</b>
11/09/10	Social Media & Personal Device Integration	Tim Howell, Ernst & Young; Tony Hall, E.ON U.S.	2	Bristol Bar & Grille
12/14/10	Mistakes IA Shops Make	Jake Stricker, PwC	1	Bristol Bar & Grille
1/11/11	Operational Auditing	Jody Grizz, E.ON U.S	1	Bristol Bar & Grille
1/18/11	Best Practices for IA Shops (panel discussion)	Meredith Hettinger, ResCare; James Rose, Humana; Jodi Renn, KHESLC	2	ResCare
2/08/11	For Profit vs. Non-Profit Auditing	Steve Hunt, Make a Wish Foundation	1	Bristol Bar & Grille
2/15/11 - 2/16/11	Purchasing Fraud	Courtenay Thompson	16	E.ON U.S. Center
2/17/11 - 2/18/11	Purchasing Fraud (repeat session)	Courtenay Thompson	16	E.ON U.S. Center
3/4/11	Communicating Audit Results	Merek Lipson	8	TBD
3/8/11	Managing Audit Staff	David McCabe	3	TBD
3/8/11	Audit Committee Expectations	David McCabe	1	Bristol Bar & Grille
3/8/11	CAE Roundtables	David McCabe	TBD	TBD
4/5/11	TBD	TBD	1	Bristol Bar & Grille
4/19/11	Ethics	TBD	2	TBD
5/10/11 (tentative)	TBD	TBD	1	TBD

**OFFICERS****President and  
Nominating / Research Chair**

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**ADVERTISEMENT POLICY**

**FREE** recruiting advertisements are available on the local IIA Chapter website and in the monthly newsletter! Any employer is eligible for free advertising IF at least one employee is a member of the Institute of Internal Auditors, Louisville Chapter. Executive Search Firms will be charged a nominal fee per month of \$50 for a ½ page or \$100 for a full page advertisement and one month of posting open positions on our webpage. Payment must be received prior to advertising/posting. For more information, contact the IIA Louisville Chapter President at [president@iialou.org](mailto:president@iialou.org) or Secretary at [secretary@iialou.org](mailto:secretary@iialou.org).



**The Institute of  
Internal Auditors  
Louisville Chapter**